

U.S. DEPARTMENT OF COMMERCE, PATENT AND TRADEMARK OFFICE		DATE: March 10, 1998
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371		U.S. APPLN. NO. (if known):
INTERNATIONAL APPLICATION NO.: PCT/JP97/02405	INTERNATIONAL FILING DATE: July 10, 1997	PRIORITY DATE CLAIMED: July 12, 1996
TITLE OF INVENTION: METHOD AND MOLD FOR MANUFACTURING SEMICONDUCTOR DEVICE, SEMICONDUCTOR DEVICE, AND METHOD FOR MOUNTING THE DEVICE		
APPLICANT(S) FOR DO/EO/US: Norio FUKASAWA, Toshimi KAWAHARA, Muneharu MORIOKA, Mitsunada OSAWA, Yasuhiro SHINMA, Hirohisa MATSUKI, Masanori ONODERA, Junichi KASAI, Shigeyuki MARUYAMA, Masao SAKUMA, Yoshimi SUZUKI and Masashi TAKENAGA		
<p>Applicant hereby submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:</p> <ol style="list-style-type: none"> <li>1. <u>XX</u> This is a <b>FIRST</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>2. <u>   </u> This is a <b>SECOND</b> or <b>SUBSEQUENT</b> submission of items concerning a filing under 35 U.S.C. 371.</li> <li>3. <u>XX</u> This express request to begin national examination procedures (35 USC 371(f)) at any time rather than delay examination until the expiration of the time limit set in 35 USC 371(b) and PCT Articles 22 and 39(1).</li> <li>4. <u>   </u> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date.</li> <li>5. <u>XX</u> A copy of the International Application as filed (35 U.S.C. 371(c)(2)):        a. <u>XX</u> is transmitted herewith (required only if not transmitted by the International Bureau).        b. <u>   </u> has been transmitted by the International Bureau.        c. <u>   </u> is not required, as the application was filed in the United States Receiving Office (RO/US)</li> <li>6. <u>   </u> A translation of the International Application into English (35 U.S.C. 371(c)(2)).</li> <li>7. <u>XX</u> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))        a. <u>   </u> are transmitted herewith (required only if not transmitted by the International Bureau).        b. <u>   </u> have been transmitted by the International Bureau.        c. <u>   </u> have not been made; however, the time limit for making such amendments has NOT expired.        d. <u>XX</u> have not been made and will not be made.</li> <li>8. <u>   </u> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).</li> <li>9. <u>   </u> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).</li> <li>10. <u>   </u> A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).</li> </ol> <p>ITEMS 11. TO 16. BELOW CONCERN OTHER DOCUMENT(S) OR INFORMATION INCLUDED:</p> <ol style="list-style-type: none"> <li>11. <u>XX</u> An Information Disclosure Statement under 37 CFR 1.97 and 1.98 together with the International Search Report and 13 references.</li> <li>12. <u>   </u> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.</li> <li>13. <u>   </u> A <b>FIRST</b> preliminary amendment.  <u>   </u> A <b>SECOND</b> or <b>SUBSEQUENT</b> preliminary amendment</li> <li>14. <u>   </u> A substitute specification.</li> <li>15. <u>   </u> A change of power of attorney and/or address letter.</li> <li>16. <u>XX</u> Other items or information: 131 sheets of Drawings.</li> </ol>		

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<p>17. <u>X</u> The following fees are submitted:</p> <p><b>Basic National Fee (37 CFR 1.492(a)(1)-(5):</b>  Search Report has been prepared by the EPO or JPO:.....\$930.00</p> <p>International preliminary examination fee paid  to USPTO (37 CFR 1.482).....\$720.00</p> <p>No international preliminary examination fee paid  to USPTO (37 CFR 1.482) but international search fee  paid to USPTO (37 CFR 1.445(a)(2)).....\$790.00</p> <p>Neither international preliminary examination fee  (37 CFR 1.482) nor international search fee  (37 CFR 1.445(a)(2)) paid to USPTO.....\$1,070.00</p> <p>International preliminary examination fee  (37 CFR 1.482) and all claims satisfied provisions  of PCT Article 33(2)-(4).....\$ 98.00</p> <p style="text-align: right;"><b>ENTER APPROPRIATE BASIC FEE AMOUNT = \$ 930.00</b></p>	<b>CALCULATIONS</b>	<b>PTO USE ONLY</b>
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Surcharge of <b>\$130.00</b> for furnishing the oath or declaration later than <u>x</u> 20 _____ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).	<b>\$ 130.00</b>	
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CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE		
TOTAL	125 -20=	105	X \$ 22.00	<b>\$2,310.00</b>	
INDEPENDENT	16 - 3=	13	X \$ 82.00	<b>\$1,066.00</b>	
Multiple dependent claims(s) (if applicable)			+ \$270.00	<b>\$ 270.00</b>	
<b>TOTAL OF ABOVE CALCULATIONS =</b>				<b>\$4,706.00</b>	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).					
<b>SUBTOTAL =</b>				<b>\$4,076.00</b>	
Processing fee of <b>\$130.00</b> for furnishing the English translation later than <u>x</u> 20 _____ 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				<b>\$ 130.00</b>	
<b>TOTAL NATIONAL FEE =</b>				<b>\$3,836.00</b>	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). <b>\$40.00 per property +</b>					
<b>TOTAL FEES ENCLOSED =</b>				<b>\$4,836.00</b>	
				Amount to be: refunded	\$ _____
				charged	\$ _____

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a. XX A check in the amount of \$4,836.00 to cover the above fees is enclosed.  
(This paper is filed in triplicate)


b.     Please charge my Deposit Account No. 01-2340 in the amount of \$        to cover the above fees.  
(A duplicate copy of this sheet is enclosed.)

c. X The Commissioner is hereby authorized to charge any additional fees which may be required, or  
credit any overpayment to Deposit Account No. 01-2340.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to  
revive (37 CFR 1.137(a) or (b)) must be filed to request that the application be restored to  
pending status.

Send All Correspondence To:

ARMSTRONG, WESTERMAN, HATTORI  
McLELAND & NAUGHTON  
1725 K Street, N.W. Suite 1000  
Washington, D.C. 20006  
(202) 659-2930

  
SIGNATURE

Ronald F. Naughton  
NAME

24,616  
REGISTRATION NUMBER

RFN/yap

09/029608

PATENT APPLICATION SERIAL NO. \_\_\_\_\_

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE  
FEE RECORD SHEET

03/16/1998	PVLPE	00000141	09029608	
01	FC:970		930.00	OP
02	FC:964		1066.00	OP
03	FC:966		2310.00	OP
04	FC:968		270.00	OP
05	FC:154		130.00	OP
06	FC:156		130.00	OP

06/22/1998	HCLAYBRD	00000049	012340	09029608
01	FC:966		308.00	CH

